

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yi-Ling Chen

Serial No.: Not Known Yet

Group Art Unit: Not Known Yet

Filed: 03/31/2003

Examiner: Not Known Yet

For: **METHOD FOR IN SITU MONITORING OF CHAMBER PEELING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as EXPRESS MAIL LABEL NO. EV 398572745 US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

3/31/2004
Date Chris Lambriodes
Chris Lambriodes

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.
§ 1.56 in connection with the above-identified application, which statement is being filed:

- ☒ [X] Together with the present application.
- ☐ [] Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ [] After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ [] After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Fee Under 37 CFR § 1.97(d)**

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.

☐ **Certification Under 37 CFR § 1.97(e)**

- ☐ Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- ☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

☐ A copy of each of the non-U.S. patent references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.

☐ Partial Translation is enclosed herewith and form a part hereof.

☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.

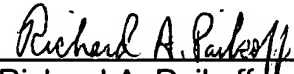
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). . The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

Respectfully submitted,

DATE: 3/31/04



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	Not Known Yet
Filing Date	03/31/2004
First Named Inventor	Yi-Ling Chen
Art Unit	Not Known Yet
Examiner Name	Not Known Yet
Attorney Docket Number	N1085-00131

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			
	AA	US- 4,263,088	04-21-1981	Gorin	
	AB	US- 4,312,732	01-26-1982	Degenkolb et al.	
	AC	US- 4,493,745	01-15-1985	Chen et al.	
	AD	US- 4,496,425	01-29-1985	Kuyel	
	AE	US- 5,288,367	02-22-1994	Angell et al.	
	AF	US- 5,347,460	09-13-1994	Gifford et al.	
	AG	US- 5,658,423	08-19-1997	Angell et al.	
	AH	US- 5,807,761	09-15-1998	Coronel et al.	
	AI	US- 5,877,032	03-02-1999	Guinn et al.	
	AJ	US- 5,897,378	04-27-1999	Eriguchi	
	AK	US- 5,910,011	06-08-1999	Cruse	
	AL	US- 5,986,747	11-16-1999	Moran	
	AM	US- 6,046,796	04-04-2000	Markle et al.	
	AN	US- 6,057,247	05-02-2000	Imai et al.	
	AO	US- 6,071,828	06-06-2000	Mihara	
	AP	US- 6,157,867	12-05-2000	Hwang et al.	
	AQ	US- 6,235,655	05-22-2001	Jozaki	
	AR	US- 6,242,350	06-05-2001	Tao et al.	

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.² Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Sheet 2

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		Number-Kind Code ² (if known)			
	AS	US- 6,267,121	07-31-2001	Huang et al.	
	AT	US- 6,277,763	08-21-2001	Kugimiya et al.	
	AU	US- 6,395,563	05-28-2002	Eriguchi	
	AV	US- 6,419,846	07-16-2002	Toprac et al.	
	AW	US- 6,429,141	08-06-2002	Van Ngo et al.	
	AX	US- 6,492,068	12-10-2002	Suzuki	
	AY	US- 6,492,186	12-10-2002	Han et al.	
	AZ	US- 2002/0192845	12-19-2002	Nguyen et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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